## Comparison of Current Federal Civil Rules and the Proposed Amendments Effective December 1, 2015

Old Rule	New Rule	<u>Commentary</u>
<i>Rule 1. Scope and Purpose</i> These rules govern the procedure in all civil actions and proceedings in the United States district courts, except as stated in Rule 81. They should be construed[,] and administered[, and employed by the court and the parties] to secure the just, speedy, and inexpensive determination of every action and proceeding.	Rule 1. Scope and Purpose These rules govern the procedure in all civil actions and proceedings in the United States district courts, except as stated in Rule 81. They should be construed, administered, and employed by the court and the parties to secure the just, speedy, and inexpensive determination of every action and proceeding.	Rule 1 is amended to emphasize that just as the court should construe and administer these rules to secure the just, speedy, and inexpensive determination of every action, the parties also share the responsibility to employ the rules in the same way. This amendment neither creates a new independent source of sanctions nor does it abridge the scope of any other of these rules.

Old Rule	<u>New Rule</u>	<b>Commentary</b>
Rule 4. Summons	Rule 4. Summons	Forms 5 and 6 are now directly
(d) Waiving Service.	(d) Waiving Service.	incorporated into Rule 4 because of the abrogation of Rule 84 and the other
(1) Requesting a Waiver.	(1) Requesting a Waiver.	official forms.
<ul><li>(C) be accompanied by a copy of the complaint, 2 copies of a[the] waiver form[ appended to this Rule 4], and a prepaid means for returning the form;</li></ul>	( <i>C</i> ) be accompanied by a copy of the complaint, 2 copies of the waiver form appended to this Rule 4, and a prepaid means for returning the form;	The presumptive time for serving a defendant is reduced from 120 days to 90 days. This change, together with the
( <i>D</i> ) inform the defendant, using text prescribed in Form 5[the form appended to this Rule 4], of the consequences of waiving and not waiving service;	(D) inform the defendant, using the form appended to this Rule 4, of the consequences of waiving and not waiving service;	shortened times for issuing a scheduling order set by amended Rule 16(b)(2), will reduce delay at the beginning of litigation.
( <i>m</i> ) Time Limit for Service. If a defendant is not served within $120$ [90] days after the complaint is filed, the court – on motion or on its own after notice to the plaintiff – must dismiss the action without prejudice against the defendant or order that service be made within a specified time. But if the plaintiff shows good cause for the failure, the	( <i>m</i> ) <i>Time Limit for Service.</i> If a defendant is not served within 90 days after the complaint is filed, the court – on motion or on its own after notice to the plaintiff – must dismiss the action without prejudice against the defendant or order that service be made within a specified time. But if the plaintiff	The final sentence is amended to make it clear that this reference to Rule 4 in Rule $71.1(d)(3)(A)$ does not include Rule $4(m)$ .
court must extend the time for service for an appropriate period. This subdivision (m) does not apply to service in a foreign country under Rule $4(f)$ or $4(j)(1)$ [or to service of a notice under Rule $71.1(d)(3)(A)$ ].	shows good cause for the failure, the court must extend the time for service for an appropriate period. This subdivision (m) does not apply to service in a foreign country under Rule 4(f) or 4(j)(1) or to service of a notice under Rule 71.1(d)(3)(A).	Shortening the time to serve under Rule 4(m) means that the time of the notice required by Rule 15(c)(1)(C) for relation back is also shortened.

Old Rule	<u>New Rule</u>	<u>Commentary</u>
<ul> <li>Rule 16 (continued)</li> <li>(3) Contents of the Order.</li> <li>(B) Permitted Contents. The scheduling order may:</li> <li>(iii) provide for disclosure[,] or discovery[, or preservation] of electronically stored information;</li> <li>(iv) include any agreements the parties reach for asserting claims of privilege or of protection as trial- preparation material after information is produced[, including agreements reached under Federal Rule of Evidence 502;</li> <li>(v) direct that before moving for an order relating to discovery, the movant must request a conference with the court;]</li> <li>(<i>v</i>[<i>vi</i>]) set dates for pretrial conferences and for trial; and</li> <li>(<i>vi</i>[<i>vii</i>]) include other appropriate matters.</li> </ul>	<ul> <li>Rule 16 (continued)</li> <li>(3) Contents of the Order.</li> <li>(B) Permitted Contents. The scheduling order may:</li> <li>(iii) provide for disclosure, discovery, or preservation of electronically stored information;</li> <li>(iv) include any agreements the parties reach for asserting claims of privilege or of protection as trial- preparation material after information is produced, including agreements reached under Federal Rule of Evidence 502;</li> <li>(v) direct that before moving for an order relating to discovery, the movant must request a conference with the court;</li> <li>(vi) set dates for pretrial conferences and for trial; and</li> <li>(vii) include other appropriate matters.</li> </ul>	The scheduling order may provide for preservation of electronically stored information, which was also added to the provisions of a discovery plan under Rule 26(f)(3)(C). Parallel amendments to Rule 37(e) recognize that a duty to preserve discoverable information may arise before an action is filed. The scheduling order may also include agreements incorporated in a court order issued under Evidence Rule 502, controlling the effects of disclosure of information covered by attorney-client privilege or work-product protection. This topic was also added to the provisions of a discovery plan under Rule 26(f)(3)(D). Finally, the scheduling order may direct that the movant must request a conference with the court before filing a motion for an order relating to discovery. However, the decision whether to require such conferences is left to the discretion of the judge in each case.

Old Rule	<u>New Rule</u>	<u>Commentary</u>
Rule 26. Duty to Disclose; General Provisions;	Rule 26. Duty to Disclose; General	Information is discoverable under
Governing Discovery	Provisions; Governing Discovery	revised Rule 26(b)(1) if it is relevant to
(b) Discovery Scope and Limits.	(b) Discovery Scope and Limits.	any party's claim or defense and is proportional to the needs of the case.
(1) Scope in General. Unless otherwise limited by court order, the scope of discovery is as follows:	(1) Scope in General. Unless otherwise limited by court order, the scope of	The considerations that bear on proportionality are taken from Rule
Parties may obtain discovery regarding any	discovery is as follows: Parties may obtain	26(b)(2)(C)(iii), with slight
nonprivileged matter that is relevant to any party's	discovery regarding any nonprivileged	modifications.
claim or defense[ and proportional to the needs	matter that is relevant to any party's claim or	
of the case, considering the importance of the	defense and proportional to the needs of the	
issues at stake in the action, the amount in	case, considering the importance of the	
controversy, the parties' relative access to	issues at stake in the action, the amount in	
relevant information, the parties' resources, the	controversy, the parties' relative access to	
importance of the discovery in resolving the	relevant information, the parties' resources,	
issues, and whether the burden or expense of the	the importance of the discovery in resolving	
proposed discovery outweighs its likely benefit.	the issues, and whether the burden or	
Information within this scope of discovery need	expense of the proposed discovery	
not be admissible in evidence to be	outweighs its likely benefit. Information	
discoverable.]	within this scope of discovery need not be	
including the existence, description, nature,	admissible in evidence to be discoverable.	
custody, condition and location of any documents or		
other tangible things and the identity and location of		
persons who know of any discoverable matter. For		
good cause, the court may order discovery of any		
matter relevant to the subject matter involved in the		
action. Relevant information need not be admissible		
at the trial if the discovery appears reasonably		
calculated to lead to the discovery of admissible		
evidence. All discovery is subject to the limitations		
imposed by Rule 26(b)(2)(C).		
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Old Rule	<u>New Rule</u>	Commentary
Old RuleRule 26 (continued)(2) Limitations on Frequency and Extent.(C) When Required. On motion or on its own, the court must limit the frequency or extent of discovery otherwise allowed by these rules or by local rule if it determines that:(iii) the burden or expense of the proposed discovery [is outside the scope permitted by Rule 26(b)(1)] outweighs its likely benefit, considering the needs of the case, the amount in controversy, the parties' resources, the importance of the issues at stake in the action, and the importance of the discovery in resolving the issues.(c) Protective Orders.	New RuleRule 26 (continued)(2) Limitations on Frequency and Extent.(C) When Required. On motion or on its own, the court must limit the frequency or extent of discovery otherwise allowed by these rules or by local rule if it determines that:(iii) the proposed discovery is outside the scope permitted by Rule 26(b)(1).(c) Protective Orders.(1) In General.* * *(B) specifying terms, including time and	CommentaryRule 26(b)(2)(C)(iii) is amended to reflect that the proportionality considerations were moved to Rule 26(b)(1).Rule 26(c)(1)(B) is amended to include an express recognition of protective orders that allocate expenses for disclosure or discovery.
<ul> <li>(1) In General.</li> <li>***</li> <li>(B) specifying terms, including time and place [or the allocation of expenses], for the disclosure or discovery;</li> </ul>	( <i>B</i> ) specifying terms, including time and place or the allocation of expenses, for the disclosure or discovery;	

New Rule	<b>Commentary</b>
Rule 26 (continued)	Rule 26(d)(2) is added to allow a party
(d) Timing and Sequence of Discovery.	to deliver Rule 34 requests to another party more than 21 days after that party
(2) Early Rule 34 Requests.	has been served even though the parties
(A) <i>Time to Deliver</i> . More than 21 days after the summons and complaint are served on a party, a request under Rule 34 may be delivered:	have not yet had a Rule 26(f) conference. Delivery may be made by any party to the party that has been served, and by that party to any plaintiff
(i) to that party by any other party, and	and any other party that has been served.
(ii) by that party to any plaintiff or to any other party that has been served.	Rule $26(d)(3)$ is renumbered and
(B) When Considered Served. The request is considered to have been served at the first Rule 26(f) conference.	amended to recognize that the parties may stipulate to case-specific sequences of discovery.
<ul><li>(3) Sequence. Unless the parties stipulate or the court orders otherwise for the parties' and witnesses' convenience and in the interests of justice:</li><li>(A) methods of discovery may be used in any sequence; and</li></ul>	
(B) discovery by one party does not require any other party to delay its discovery.	
	<ul> <li>Rule 26 (continued)</li> <li>(d) Timing and Sequence of Discovery.</li> <li>(2) Early Rule 34 Requests.</li> <li>(A) Time to Deliver. More than 21 days after the summons and complaint are served on a party, a request under Rule 34 may be delivered:</li> <li>(i) to that party by any other party, and</li> <li>(ii) by that party to any plaintiff or to any other party that has been served.</li> <li>(B) When Considered Served. The request is considered to have been served at the first Rule 26(f) conference.</li> <li>(3) Sequence. Unless the parties stipulate or the court orders otherwise for the parties' and witnesses' convenience and in the interests of justice:</li> <li>(A) methods of discovery may be used in any sequence; and</li> <li>(B) discovery by one party does not require</li> </ul>

Old Rule	New Rule	<u>Commentary</u>
Rule 26 (continued)	Rule 26 (continued)	Rule 26(f)(3) is amended in parallel with Rule 16(b)(3) to add two items to the discovery plan: issues about preserving electronically stored information and court orders under Evidence Rule 502.
(f) Conference of the Parties; Planning for Discovery.	(f) Conference of the Parties; Planning for Discovery.	
(3) Discovery Plan. A discovery plan must state the parties' views and proposals on:	(3) <i>Discovery Plan.</i> A discovery plan must state the parties' views and proposals on:	
(C) any issues about disclose[,] <del>or</del> discovery[, <b>or preservation</b> ] of electronically stored information, including the form or forms in which it should be produced;	(C) any issues about disclose, discovery, or preservation of electronically stored information, including the form or forms in which it should be produced;	
(D) any issues about claims of privilege or of protection as trial-preparation materials, including – if the parties agree on a procedure to assert these claims after production – whether to ask the court to include their agreement in an order [under Federal Rule of Evidence 502];	(D) any issues about claims of privilege or of protection as trial-preparation materials, including – if the parties agree on a procedure to assert these claims after production – whether to ask the court to include their agreement in an order under Federal Rule of Evidence 502;	

Old Rule	New Rule	<u>Commentary</u>
Rule 30. Depositions by Oral Examination	Rule 30. Depositions by Oral Examination	Rule 30 is amended similarly to Rules 31 and 33 to reflect the new recognition
(a) When a Deposition May Be Taken.	(a) When a Deposition May Be Taken.	of proportionality in Rule 26(b)(1).
(2) With Leave. A party must obtain leave of court, and the court must grant leave to the extent consistent with Rule 26(b)[(1) and ](2):	(2) With Leave. A party must obtain leave of court, and the court must grant leave to the extent consistent with Rule 26(b)(1) and (2):	
(d) Duration. Unless otherwise stipulated or ordered by the court, a deposition is limited to one day of 7 hours. The court must allow additional time consistent with Rule 26(b)[(1) and ](2) if needed to fairly examine the deponent or if the deponent, another person, or any other circumstance impedes or delays the examination.	( <i>d</i> ) <i>Duration</i> . Unless otherwise stipulated or ordered by the court, a deposition is limited to one day of 7 hours. The court must allow additional time consistent with Rule 26(b)(1) and (2) if needed to fairly examine the deponent or if the deponent, another person, or any other circumstance impedes or delays the examination.	

Old Rule	New Rule	<u>Commentary</u>
Rule 31. Depositions by Written Questions	Rule 31. Depositions by Written Questions	Rules 31 and 33 are amended similarly
(a) When a Deposition May Be Taken.	(a) When a Deposition May Be Taken.	to Rule 30 to reflect the new recognition of proportionality in Rule 26(b)(1).
(2) With Leave. A party must obtain leave of court, and the court must grant leave to the extent consistent with Rule 26(b)[(1) and ](2):	(2) With Leave. A party must obtain leave of court, and the court must grant leave to the extent consistent with Rule 26(b)(1) and (2):	
* * *	* * *	
Rule 33. Interrogatories to Parties	Rule 33. Interrogatories to Parties	
(a) In General	(a) In General	
(1) Number. Unless otherwise stipulated or ordered by the court, a party may serve on any other party no more than 25 written interrogatories, including all discrete subparts. Leave to serve additional interrogatories may be granted to the extent consistent with Rule 26(b)[(1) and ](2).	(1) Number. Unless otherwise stipulated or ordered by the court, a party may serve on any other party no more than 25 written interrogatories, including all discrete subparts. Leave to serve additional interrogatories may be granted to the extent consistent with Rule 26(b)(1) and (2).	

<u>Old Rule</u>	<u>New Rule</u>	<u>Commentary</u>
Rule 34. Producing Documents, Electronically Stored Information, and Tangible Things, or Entering onto Land, for Inspection and Other Purposes b) Procedure. 2) Responses and Objections.	<ul> <li>Rule 34. Producing Documents, Electronically Stored Information, and Tangible Things, or Entering onto Land, for Inspection and Other Purposes</li> <li>(b) Procedure.</li> <li>(2) Responses and Objections.</li> </ul>	Rule 34(b)(2)(A) is amended to conform with new Rule 26(d)(2). The time to respond to a Rule 34 request delivered before the parties' Rule 26(f) conference is 30 days after the first Rule 26(f) conference.
<ul> <li>A) Time to Respond. The party to whom the equest is directed must respond in writing within 30 days after being served[ or – if the request was lelivered under Rule 26(d)(2) – within 30 days after the parties' first Rule 26(f) conference]. A horter or longer time may be stipulated to under Rule 29 or be ordered by the court.</li> <li>B) Responding to Each Item. For each item or category, the response must either state that inspection and related activities will be permitted as requested or state an objection[with specificity he grounds for objecting] to the request, including the reasons.[ The responding party may state that it will produce copies of locuments or of electronically stored information instead of permitting inspection. The production must then be completed no later than the time for inspection specified in the request.]</li> </ul>	<ul> <li>(A) Time to Respond. The party to whom the request is directed must respond in writing within 30 days after being served or – if the request was delivered under Rule 26(d)(2) – within 30 days after the parties' first Rule 26(f) conference. A shorter or longer time may be stipulated to under Rule 29 or be ordered by the court.</li> <li>(B) Responding to Each Item. For each item or category, the response must either state that inspection and related activities will be permitted as requested or state with specificity the grounds for objecting to the request, including the reasons. The responding party may state that it will produce copies of documents or of electronically stored information instead of permitting inspection. The production must then be completed no later than the time for inspection specified in the request or another reasonable time specified in the response.</li> </ul>	Rule 34(b)(2)(B) is amended to require that objections to Rule 34 requests be stated with specificity. The specificity of the objection ties to the new provision in Rule 34(b)(2)(C), directing that an objection must state whether any responsive materials are being withheld on the basis of that objection. Rule 34(b)(2)(B) is further amended to reflect the common practice of producing copies of documents or electronically stored information rather than simply permitting inspection. The response to the request must state that copies will be produced. The production must be completed either by the time for inspection specified in the request or by another reasonable time specifically identified in the response.

Old Rule	New Rule	Commentary
Old RuleRule 34 (continued)(C) Objections. [An objection must statewhether any responsive materials are beingwithheld on the basis of that objection. ]Anobjection to part of a request must specify the partyand permit inspection of the rest.	New RuleRule 34 (continued)(C) Objections. An objection must state whether any responsive materials are being withheld on the basis of that objection. An objection to part of a request must specify the party and permit inspection of the rest.	Commentary         Rule 34(b)(2)(C) is amended to provide that an objection to a Rule 34 request must state whether anything is being withheld on the basis of the objection.

Old Rule	New Rule	<u>Commentary</u>
<i>Rule 37. Failure to Make Disclosures or to Cooperate in Discovery; Sanctions</i>	Rule 37. Failure to Make Disclosures or to Cooperate in Discovery; Sanctions	Rule 37(a)(3)(B)(iv) is amended to reflect the common practice of producing copies of documents or electronically stored information rather than simply permitting inspection. This
(a) Motion for an Order Compelling Disclosure or Discovery.	(a) Motion for an Order Compelling Disclosure or Discovery.	
(3) Specific Motions.	(3) Specific Motions.	change brings item (iv) into line with
(B) To Compel a Discovery Response. A party seeking discovery may move for an order compelling an answer, designation, production, or inspection. This motion may be made if:	(B) To Compel a Discovery Response. A party seeking discovery may move for an order compelling an answer, designation, production, or inspection. This motion may	paragraph (B), which provides for a motion for an order compelling "production, or inspection."
(iv) a party[ fails to produce documents or] fails to respond that inspection will be permitted – or fails to permit inspection – as requested under Rule 34.	be made if: (iv) a party fails to produce documents or fails to respond that inspection will be permitted – or fails to permit inspection – as requested under Rule 34.	

Rule 37 (continued)	Rule 37 (continued)	The current Rule 37(e) is replaced by
<ul> <li>(continued)</li> <li>(continued)</li> <li>(e) Failure to Provide[Preserve] Electronically Stored Information. Absent exceptional Encumstances, a court may not impose sanctions under these rules on a party for failing to provide electronically stored information lost as a result of the routine, good faith operation of an electronic information system. [If electronically stored information that should have been preserved in the anticipation or conduct of litigation is lost because a party failed to take reasonable steps to preserve it, and it cannot be restored or replaced through additional discovery, the court:</li> <li>(1) upon finding prejudice to another party from loss of information, may order measures no greater than necessary to cure the prejudice; or</li> <li>(2) only upon finding that the party acted with the intent to deprive another party of the information's use in the litigation may:</li> <li>(A) presume that the lost information was unfavorable to the party;</li> <li>(B) instruct the jury that it may or must presume the information was unfavorable to the party; or</li> <li>(C) dismiss the action or enter a default udgment.</li> </ul>	<ul> <li><i>Rule 37 (continued)</i></li> <li>(e) Failure to Preserve Electronically Stored Information. If electronically stored information that should have been preserved in the anticipation or conduct of litigation is lost because a party failed to take reasonable steps to preserve it, and it cannot be restored or replaced through additional discovery, the court: <ul> <li>(1) upon finding prejudice to another party from loss of information, may order measures no greater than necessary to cure the prejudice; or</li> <li>(2) only upon finding that the party acted with the intent to deprive another party of the information's use in the litigation may:</li> <li>(A) presume that the lost information was unfavorable to the party;</li> <li>(B) instruct the jury that it may or must presume the information was unfavorable to the party;</li> </ul> </li> </ul>	The current Rule 37(e) is replaced by a new Rule 37(e). The new Rule 37(e) authorizes and specifies measures a court may employ if information that should have been preserved is lost, and specifies the findings necessary to justify these measures.

<ul> <li>Rule 55. Default; Default Judgment</li> <li>(c) Setting Aside a Default or a Default Judgment. The court may set aside an entry of default for good cause, and it may set aside a [final ]default judgment under Rule 60(b).</li> </ul>	Rule 55. Default; Default Judgment (c) Setting Aside a Default or a Default Judgment. The court may set aside an entry of default for good cause, and it may set aside a final default judgment under Rule 60(b).	Rule 55(c) is amended to clarify the interplay between Rules 54(b), 55(c), and 60(b). A default judgment that does not dispose of all the claims among all parties is not a final judgment under Rule 54(b).

## Comparison of Current Federal Civil Rules and the Proposed Amendments Effective December 1, 2015

Rule 84. Forms	Rule 84. Forms	Based on the many alternative
[Abrogated (Apr, 2015, eff. Dec. 1, 2015.] The forms in the Appendix suffice under these rules	Abrogated (Apr, 2015, eff. Dec. 1, 2015.	sources for forms, Rule 84 and the Appendix of Forms have been abrogated.
nd illustrate the simplicity and brevity that these ules contemplate.		